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Chapter 4

Outline -

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Summary
Essentials of
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Underlying asset allocation are two highly influential and well-known investment concepts: modern portfolio theory and the efficient market hypothesis.

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Modern portfolio theory says that neither investors nor portfolio managers should evaluate each investment on a stand-alone basis.

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Overview, Types,**
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and How to Build
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managers and
portfolio
structures. At
the heart of the
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industry are the
managers who
invest and
divest client
investments. A
certified

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company
investment
advisor should
conduct an
assessment of
each client's
individual needs
and risk
profile. The
advisor then
recommends
appropriate
investments.

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**What is
Investment
Portfolio
Management?
Factors, Ways
...**

Proponents of
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the top-down approach argue the system can help investors determine an ideal asset allocation for a portfolio in any type of market environment. Often a top-down approach will uncover...

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analysis is key
to a sound
portfolio
management
strategy.

Understanding
Investment

Analysis The aim

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of investment
analysis is to
determine how an
investment is
likely to
perform and
how...

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allocating

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investment
opportunities
and

transactions.

While portfolio
managers
typically manage
to an investment
strategy or a
model and seek
to treat all
client accounts
they manage
fairly and

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equitably, there may be a variety of factors that influence whether an investment opportunity is appropriate for certain client accounts and not for others.

**Investments and
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The portfolio manager has to follow a style or a combination of methods of different portfolio management.

Based on these styles, the following types of investment

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Active: In,
active
investment
portfolio
management, the
portfolio
manager is
mainly concerned
about making
better returns
than expected

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for his clients.
He depends on
financial
hypothesis and
past experiences
and actively
participates in
the market by
buying and
selling stocks
regularly.

**Investment
Products |**

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Edward Jones

There are two
main types of
Investment
Companies o Unit
Investment
Trusts These
trusts are money
pooled from many
investors that
is invested in a
portfolio fixed
for the life of
the fund A

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brokerage firm
buys a portfolio
of securities
which are
deposited into a
trust.

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**A Top-Down
Approach to
Investing -
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An investment
portfolio is a
set of financial
assets owned by
an investor that
may include
bonds, stocks,

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currencies, cash
and cash
equivalents, and
commodities.

Further, it
refers to a
group of
investments that
an investor uses
in order to earn
a profit while
making sure that
capital or
assets are

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